



UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new non provisional applications under 37 CFR 1.53 (b))</i>	Attorney Docket No.	84887
	First Inventor	LINDSAY, GEOFFREY, et al
	Title	Electro-Optic Polymers with Tunable Refraction Index
	Express Mail label No.	EU 977246415 US

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231																																																								
<table border="0" style="width: 100%;"> <tr> <td style="width: 50%; vertical-align: top;"> 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <i>(Submit an original, and a duplicate for the processing)</i> </td> <td style="width: 50%; vertical-align: top;"> 7. <input type="checkbox"/> CD-ROM or CF-R in duplicate, large table or Computer Program (Appendix) </td> </tr> <tr> <td>2. <input type="checkbox"/> Applicant claims small entity status <i>See 37 CFR 1.27</i></td> <td>8. 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If a CONTINUING APPLICATION check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</td> <td colspan="2" style="padding-top: 10px;"> <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application No.: 09/662,383 </td> </tr> <tr> <td colspan="2" style="padding-top: 10px;"> <i>Prior application information:</i> Examiner Edward A. Miller Group/Art Unit 3641 </td> <td style="padding-top: 10px;"> <input type="checkbox"/> Copies Of IDS Citations </td> </tr> <tr> <td colspan="3" style="padding-top: 10px;"> For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior art application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. 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16447 U S. PTO
10/61460
07/02/03

FEE TRANSMITTAL FOR FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$750.00

Complete if known

Application Number	
Filing Date	
First Named Inventor	LINDSAY, GEOFFREY, et al
Examiner Name	Edward A. Miller
Art Unit	3641
Attorney Docket No.	84887

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit AccountDeposit Account
Number
Deposit Account
Name

NAWCWD - Pt Mugu/ China Lake

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge(s) indicated below, except for the filing fee to the above - identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity | Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	\$750.00
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)		\$750.00			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims	Fee from below
Total Claims 7 -20** = -13	X =
Independent Claims 1 -3 ** = -2	X =
Multiple Dependent	=

Large Entity | Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	16	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				

** or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity | Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1808	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129 (b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					
* Reduced by Basic Filing Fee Paid					SUBTOTAL (3)

(Complete if applicable)

SUBMITTED BY			
Name (Print/Type)	Charlene A. Haley	Registration No. (Attorney/Agent)	52,983 Telephone (760) 939-4177
Signature		Date	2 Jul 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and / or suggestions for reducing this burden, should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO : COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Mail Stop: Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on 2 Jul 2003
Date



Signature

Charlene A. Haley, Reg. 52983

Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Attorney Docket No. 84887

Transmittal Form (orig©)	2pp
Fee Transmittal Form (orig©)	2pp
Non Publication Req (orig©)	2pp
Info Disclosure Stmt (orig)	34pp + 2 Ref's 13pp
Application (orig)	17pp
Prior Application Ser 09/622,383	25p
Drawings (1 Sheets) (orig)	1pp
Post Card	

USPS EXPRESS MAIL: EU 977246415

NON PUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	LINDSAY, GEOFFREY, et al
	Title	Electro-Optic Polymers with Tunable Refraction Index for Optical Waveguides
	Attorney Docket Number	84887

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

2 July 2003



Charlene Haley 52,983

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this non publication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).